

CHECKLIST FOR REVIEW OF COMPLIANCE CERTIFICATION PACKAGES

## 1. Facility Name

Caterpillar Inc.

## 2. Facility Address

Caterpillar Inc.  
Joliet, IL  
60434-0504

## 3. Nature of Business (SIC CODE)

Heavy off-highway Vehicle Products ~~Coating~~ Manufacturing

4. Type of Operation

A. Coating

- ☐ Automobile or Light Duty Truck
- ☐ Can Coating
- ☐ Paper Coating
- ☐ Coil Coating
- ☐ Fabric Coating
- ☐ Vinyl Coating
- ☐ Metal Furniture Coating
- ☐ Large Appliance Coating
- ☐ Magnet Wire Coating
- ☐ Miscellaneous Metal Parts and Products Coating
- ☒ Heavy Off - Highway Vehicle Products Coating
- ☐ Wood Furniture Coating
- ☐ Existing Diesel - Electromotive Coating

B. Printing and Publishing

- ☐ Flexographic and Rotogravure
- ☐ Heat Web Offset Lithographic

C. Miscellaneous Fabricated Products Manufacturing Processes

D. Miscellaneous Formulation Manufacturing Processes

E. Miscellaneous Organic Chemical Manufacturing Processes

F. Other Emission Sources

5. Applicable Regulation and Associated FTP Limit

52.741 (e)(1)(i)(k)

- Heavy off-highway vehicle Products Coating  
(3.5 lbs vol/gal)

6. Method of Compliance

☒ Compliance Coatings

☐ Add on Controls

☐ Exempt from Regulation

☐ Other (Explain)

7. Complete Attachment "A" for each Coating Line that has submitted Coating Data

NA

8. Complete Attachment "B" for each Line or Process Conducting Emission Tests

NA

9. Did source establish that coating data was "as applied"? (55FR26864, (a)(4)(i)(B)).  
YES
10. Was an alternative to Method 24/24A used? ASTM 2369-81 D1475  
D 2369  
D 3960
11. Is there official approval by the Administrator or sufficient documentation to establish the validity of the alternative technique (see par. (a)(4)(i)(B)(4) at 55FR26864)? NO
12. If there is a commitment to keep records, is a copy of the proposed record sheet included? NO

#### EXEMPTIONS

13. Does the facility claim that it is exempt? NO
14. Is the exemption claimed "because no VOM's are employed"?
15. Is the exemption claimed because they emit less than 100 tpy of VOM? If so, answer the following:
- a) Are maximum theoretical VOM emissions less than 100 tpy? \_\_\_\_\_  
Is a permit or other substantiation provided (equipment specifications, etc.)?  
\_\_\_\_\_
- b) Are production or capacity limitations contained in a federally enforceable production or capacity limitation (e.g., a federal SIP or FIP revision or a federally approved construction permit)? \_\_\_\_\_